

STATE OF MINNESOTA  
DEPARTMENT OF COMMERCE  
VIATICAL SETTLEMENT PROVIDER SURETY BOND

KNOW ALL MEN BY THESE PRESENTS, THAT \_\_\_\_\_  
(name of Viatical Settlement Provider)

a \_\_\_\_\_  
(description or form of business organization, including state of incorporation, e.g., "a Minnesota Corporation")  
with business office at \_\_\_\_\_

(Street Address and Suite or Room Number, city, state, and zip code of office covered by this bond)

as Principal and \_\_\_\_\_,  
(name of surety)

laws of the state of \_\_\_\_\_, which is authorized to engage in the business of insurance in the State of Minnesota, as Surety, are hereby held and firmly bound to the Department of Commerce of the State of Minnesota, in the sum of \_\_\_\_\_  
(\_\_\_\_\_). Principal and Surety bind themselves, their representatives, successors and assigns, jointly and severally by these presents.

THE PARTIES FURTHER AGREE THAT:

1. The purpose of this obligation, which is required by Minnesota Statutes, Section 60A.9572, subd. 8, is to secure the compliance by Principal with the terms of Minnesota Statutes, Sections 60A.957 to 60A.9585, and any other legal obligations arising out of the Principal's conduct as a Viatical Settlement Provider.
2. This bond is for the benefit of the State of Minnesota and any person suffering damages by reason of Principal's failure to comply with Minnesota Statutes, Sections 60A.957 to 60A.9585 or other legal obligation arising out of Principal's conduct as a Viatical Settlement Provider.
3. If Principal shall violate Minnesota Statutes, Sections 60A.957 to 60A.9585, or other legal obligation arising out of Principal's conduct as a Viatical Settlement Provider, the Commissioner of Commerce, as well as any person damaged as a result of such violation, shall have, in addition to all other legal remedies, a right of action on this bond in the name of the injured party for damages sustained by the injured party as the result of erroneous acts, failure to act, conviction of fraud, or conviction of unfair practices by the Viatical Settlement Provider.
4. This bond shall be in effect from \_\_\_\_\_ until December 31, \_\_\_\_\_.

Signed and sealed this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

By: \_\_\_\_\_ By: \_\_\_\_\_  
(Name of Surety) (Signature of Attorney in Fact of Surety Company)

By: \_\_\_\_\_ By: \_\_\_\_\_  
(Name of Viatical Settlement Provider) (Signature of Sole Proprietor, Partner, or President)

**SIGNATURES MUST BE NOTARIZED ON THE FOLLOWING PAGE**

- 1. This page is to be completed by a notary public for both the Principal and the Surety.
- 2. Please attach the **Power of Attorney** and **Certified Copy of the Corporate Resolution** for the Surety listed herein.

**ACKNOWLEDGMENT OF PRINCIPAL**

STATE OF \_\_\_\_\_ )  
 COUNTY OF \_\_\_\_\_ ) ss.

**(SOLE PROPRIETORSHIP)**

The foregoing instrument was acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_,  
 by \_\_\_\_\_.  
 (Name of person acknowledged)

**NOTARY SEAL**

\_\_\_\_\_  
Notary Public

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**(PARTNERSHIP/LIMITED LIABILITY COMPANY)**

The foregoing instrument was acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_,  
 by \_\_\_\_\_, a partner on behalf of \_\_\_\_\_  
 (Name of acknowledging partner)  
 \_\_\_\_\_, a partnership.  
 (Name of partnership/limited liability company)

**NOTARY SEAL**

\_\_\_\_\_  
Notary Public

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**(CORPORATION)**

The foregoing instrument was acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_,  
 by \_\_\_\_\_, President of \_\_\_\_\_  
 (Name of corporate president)  
 \_\_\_\_\_, a \_\_\_\_\_ corporation, on  
 (Name of corporation acknowledging) (state of incorporation)  
 behalf of the corporation.

**NOTARY SEAL**

\_\_\_\_\_  
Notary Public

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**ACKNOWLEDGMENT OF SURETY**

STATE OF \_\_\_\_\_ )  
 COUNTY OF \_\_\_\_\_ ) ss.

The foregoing instrument was acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_,  
 by \_\_\_\_\_,  
 (Name and title of officer or agent)  
 of \_\_\_\_\_  
 (Name of corporation acknowledging)  
 a \_\_\_\_\_ corporation, on behalf of the corporation.  
 (state of incorporation)

**NOTARY SEAL**

\_\_\_\_\_  
Notary Public